IN THE U.S. PATENT AND TRADEMARK OFFICE November 7, 2003

Applicant(s): Edgardo ALVAREZ, et al

For: SYSTEM AND METHOD FOR PERFORMING IRRIGATED NOSE AND

THROAT SURGERY

Serial No.: 10/616 654 Group: 3732

Confirmation No.: 9966

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Atty. Docket No.: S*L 264A

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 CFR §§ 1.56 and 1.97, the Applicants take this opportunity to call to the attention of the Patent and Trademark Office documents that may be material to the prosecution of this application. The cited documents are listed on the attached Form PTO-1449 and enclosed with this paper.

This paper is being submitted prior to the issuance of a first substantive Office Action for this application. It is submitted the above is a good reason why the cited documents should now be considered without payment of any supplemental fees.

At this time the Applicants call to the attention of the Patent and Trademark Office the documents incorporated by reference into the present application.

At this time, Applicants also call to the attention of the Patent and Trademark Office the additional document cited by the European Patent Office in its review of the corresponding Patent Cooperation Treaty application. The International Search Report for the corresponding PCT application mailed October 7, 2003, is also enclosed.

Further commentary regarding the cited documents is not believed necessary at this time.

Respectfully submitted,

David S. Goldenberg

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Enc: Form PTO-1449 with all documents listed thereon Acknowledgement Postal Card

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172013 (2) Express Mail Label No.: None INFORMATION Applicant: Edgardo ALVAREZ, et al Filed : July 10, 2003 DISCLOSURE Ser.No. : 10/616 654 Atty.Ref.: S*L 264A Conf. No.: 9966 NORTH ENDERFOR Group : 3732 U.S. PATENT DOCUMENTS Sub Class Filing Name Date Document Examiner Class Date Number Initial* 6 332 891 Himes Dec AA 2001 Kauker, et al Jan 6 342 061 2002 Himes, et al 6 152 941 Nov AC 2000 AD AE AF AG AH FOREIGN PATENT DOCUMENTS Translation Sub Date Country Document Class Yes No Number ALMA AN ΑO AΡ OTHER DOCUMENTS (Including Author, Title, Date, Pages, Etc.) AR PCT App. No. PCT/US03/21657 International Search Report, October 2003 AS U.S. Patent Application Serial No. 09/302 148, filed April 29, 1999 AT U.S. Patent Application Serial No. 10/251 646, filed September 21, 2002 DATE CONSIDERED EXAMINER

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.